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PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted With Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 399429
First Named Inventor Joel J. Gummesson

COMPLETE IF KNOWN

Application Number 10/075,438
Filing Date February 14, 2002
Group Art Unit 1712
Examiner Name Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

WATERFAST INK JET INKS CONTAINING A UV CURRABLE RESIN

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

02/14/2002

as United States Application Number or PCT International

Application Number **10/075,438** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) Country | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|--|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

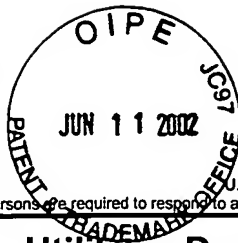
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|--|
| 60/268,767 | February 14, 2001 | |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label OR ☐ Correspondence address below

Name

Address

Address

City State ZIP

Country Telephone Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Family Name or Surname

Inventor's Signature Date

Residence: City State Country Citizenship

Mailing Address

Mailing Address

City State ZIP Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Family Name or Surname

Inventor's Signature Date

Residence: City State Country Citizenship

Mailing Address

Mailing Address

City State ZIP Country

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joel J. Gummeson
Serial No. 10/075,438
Filed: February 14, 2002
For: Waterfast Ink Jet Inks Containing
A UV Curable Resin



Examiner: Not Yet Assigned
Group Art Unit: 1712

Commissioner for Patents
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

—AND—

CERTIFICATE OF ASSIGNEE UNDER 37 C.F.R. 3.73(b)

I. POWER OF ATTORNEY

Assignee hereby appoints Kenneth D. Goetz, Reg No. 32,696; Janelle D. Strode, Reg. No. 34,738; William A. Rudy, Reg No. 34,916; Peter C. Knops, Reg No. 37,659; James M. Stipek, Reg No. 39,388; Joesph L. Johnson, Reg No. 39,718; Jeffrey B. Williams, Reg No. 43,269; Kimberly A. Wingate, Reg. No. 43,776; and Robert J. Lambrechts, Reg No. 46,854; all located at 2345 Grand Boulevard, Suite 2800, Kansas City, Missouri 64108, and Michael Byorick, Reg No. 34,131; Daniel N. Fishman, Reg. No. 35,512; Dan Cleveland, Jr., Reg No. 36,106; Steven K. Barton, Reg. No. 36,445; Thomas Swenson, Reg No. 36,696; Curtis A. Vock, Reg No. 38,356; Neil L. Arney, Reg. No. 41,589; David James Lee, Reg. No. 41,935, all located at 4845 Pearl East Circle, Suite 302, Boulder, CO 80301; all of the aforementioned being with the law firm of LATHROP & GAGE L.C.; and Mark F. Wachter, Reg. No. 27,243; and John P. Foryt, Reg. No. 32,866; of Solutia Inc., for as long as they are employed by Solutia Inc. as my attorneys with full power of to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

Please send all correspondence to: Kenneth D. Goetz, LATHROP & GAGE , 2345 Grand Boulevard, Suite 2800, Kansas City, Missouri 64018, 816/292-2000 telephone, 816/292-2001 facsimile.

SOLUTIA INC.

Mark F. Wachter
General Intellectual Property Counsel
Authorized to sign this document for
Solutia Inc. by resolution dated
September 3, 1997 of the Board of Directors

By: Mark F. Wachter
Name: Mark F. Wachtner
Title: General Intellectual Property Counsel

II CERTIFICATE UNDER 37 C.F.R. 3.73(b)

Solutia Inc. hereby certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- ☒ A. An assignment from the inventor(s) of the patent application identified above.
- ☐ The assignment was recorded in the Patent and Trademark Office at Reel , Frame ; or
- ☒ A copy of the assignment is submitted herewith, the same having been submitted herewith for recordation.

OR

- ☐ B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

The undersigned representative of the assignee has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned further states that he is the General Intellectual Property Counsel of the Assignee corporation, and is authorized to act herein on its behalf.

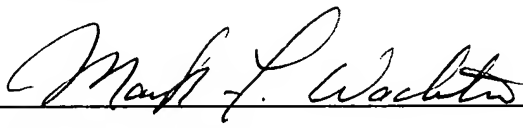
The undersigned further declares that all statements made herein of his or her own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States

Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this 29th day of April, 2002.

SOLUTIA INC.

Mark F. Wachter
General Intellectual Property Counsel
Authorized to sign this document for
Solutia Inc. by resolution dated
September 3, 1997 of the Board of Directors

By: 
Name: Mark F. Wachter
Title: General Intellectual Property Counsel

ASSIGNMENT

WHEREAS, I, Joel J. Gummeson, a citizen of the United States of America, and a resident of the City of Belchertown, and the State of Massachusetts, had invented certain new and useful improvements in a WATERFAST INK JET INKS CONTAINING A UV CURABLE RESIN for which I am about to make or have made application for Letters Patent of the United States; and

WHEREAS, Solutia Inc., a corporation duly organized under the laws of the State of Delaware and having its principal place of business at 575 Maryville Centre Drive, St. Louis, Missouri 63141 is desirous of acquiring an interest in, to and under said invention, said application, and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto.

NOW THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, Joel J. Gummeson, have sold, assigned and transferred, and by these presents to sell, assign and transfer unto the said Solutia Inc. the full and exclusive right, title and interest, throughout the world, in, to and under the following:

- (a) said invention as fully set forth and described in the specification prepared, and executed by us preparatory to obtaining Letters Patent of the United States therefor;
- (b) said application;
- (c) any and all refilings, divisions, continuations and continuations-in-part of said application;

(d) any and all Letters Patent of the United States of America which may issue from said application, refilings, divisions, continuations and continuations-in-part;

(e) any and all reissues and reexaminations of said Letters Patent of the United States of America;

(f) any and all applications for Letters Patent upon said invention which may hereafter be filed in any and all countries foreign to the United States of America;

(g) any and all refilings, divisions and continuations of said foreign-filed applications;

(h) any and all Letters Patent of countries foreign to the United States of America which may issue from the said foreign-filed applications, refilings, divisions and continuations; and

(i) any and all extensions of, and additions to, said Letters Patent of countries foreign to the United States of America.

ALL of the above shall be held and enjoyed by said Solutia Inc. for its own use and benefit, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent in accordance with this Assignment.

PATENT

Attorney Docket No.: 399429

EXECUTED this 2nd day of May, 2002.

Joel J. Gummeson
Joel J. Gummeson
146 Chauncey Walker Street
Belchertown, Massachusetts 01007
Citizen of the United States of America

STATE OF Mass)
)
COUNTY OF Hampden) ss.

On this 2nd day of May, 2002, before me personally appeared Joel J. Gummeson to me known to be the persons described in, and who executed the foregoing instrument, and they duly acknowledged to me that they executed the same for the uses and purposes therein set forth.

Marcella Carolyn Stenke
Notary Public

My Commission Expires:

Marcella Carolyn Stenke
Notary Public
(SEAL) My Commission Expires May 21, 2004